

# ROBERT H. MUNDHEIM

## PRESENT POSITIONS:

Of Counsel, Shearman & Sterling, New York, New York  
Professor of Corporate Law & Finance, University of Arizona Law School  
Director, Gogo LLC

## EDUCATION:

B.A., Harvard College (magna cum laude)  
LL.B., Harvard Law School (magna cum laude)  
M.A. (Hon.), University of Pennsylvania

## ACADEMIC CAREER:

Professor of Corporate Law & Finance, University of Arizona Law School (2011- )  
University of Pennsylvania (1965-1992)  
Fred Carr Professor of Law and Financial Institutions (1970-1980)  
Dean (1982-1989)  
University Professor of Law and Finance (1980-1992)  
Visiting Professor at UCLA Law School (1976-1977)  
Visiting Professor at Konstanz University (Spring, 1973)  
Visiting Professor at Harvard Law School (1968-1969)  
Visiting Professor at Duke Law School (1964)  
Frederick Sheldon Traveling Fellow (1957-1958)

## PRACTICE EXPERIENCE:

Senior Executive Vice President and General Counsel, Salomon Smith Barney Holdings Inc. (1997-1998)  
Executive Vice President and General Counsel, Salomon, Inc. (1992-1997)  
Managing Director and Member of the Management Board, Salomon Brothers Inc. (1992-1997)  
Co-Chairman, Fried Frank, Harris, Shriver & Jacobson, New York, NY (1990-1992)  
General Counsel, U.S. Treasury Department, Washington, D.C. (1977-1980)  
Special Counsel, Securities & Exchange Commission, Washington, D.C. (1962-1963)  
Shearman & Sterling LLP, New York, NY (1958-1961; 1999- )

## PROFESSIONAL ASSOCIATIONS:

American Bar Association (since 1970)  
American Law Institute (since 1969)  
Association of the Bar of the City of New York

## BAR MEMBERSHIPS:

New York - admitted 1958  
Pennsylvania - admitted 1979

## PRESENT NON-PROFIT BOARD MEMBERSHIPS:

Trustee, New School University (Executive Committee)  
Trustee, Curtis Institute of Music  
Trustee, American College of Governance Counsel  
Director, Salzburg Global Seminar

## **FORMER BOARD AND SIMILAR MEMBERSHIPS:**

Director, Weeden Inc. (1965-1971)  
Director, Union Capital Corporation (1971-1976)  
Director, Commerce Clearing House, Inc. (1980-1996)  
Director and member of Executive Committee, First Pennsylvania Bank and First Pennsylvania Corporation (1980-1990)  
Director, CoreStates Bank N.A. (1990-1992)  
Director, Benjamin Moore & Co. (1997-2001)  
Director, eCollege, Inc. (2000-2007)  
Member, Supervisory Board, Hypo Real Estate Holding AG (2003-2007)  
Director, Arnhold & S. Bleichroeder (2004-2009)  
Chairman of the Board of Directors, Quadra Realty Trust (2007-2008)

President and Trustee, American Academy in Berlin (2000-2006)  
Director, Aplesseed Foundation (1996-2005) (President, 2002-2005)

Vice-Chairman, Governor-at-Large and Member of Executive Committee, National Association of Securities Dealers (1988-1991)  
Member of Council, American Law Institute (1985-2012)  
Consultant, American Law Institute's Federal Securities Law Code (1968-1979)  
Consultant, American Law Institute's Project on Corporate Governance (1981-1993)  
Advisor, American Law Institute's Restatement of the Law Governing Lawyers (1989-1999)  
Advisor, American Law Institute's Principles of the Law of Non-Profit Organizations  
Vice Chairman, ABA Working Group on Lawyer's Representation of Regulated Clients (1992-1993)  
Member, ABA Presidential Commission on Multi-Disciplinary Practice  
Member of Council, Section of Business Law, American Bar Association (1986-1990)  
Member, ABA Presidential Task Force on Corporate Responsibility (2002-2003)  
Member, NYC Bar Association Task Force on the Lawyer's Role in Corporate Governance (2005-2006)  
Member, American Bar Association Standing Committee on Ethics and Professional Responsibility (2006-2012) (Chair from 2008-2011)  
Member, American Bar Association Presidential Task Force on Financial Markets Regulatory Reform (2009-2012)  
Chairman, U.S. Tax Court Nominating Commission (1978-1980)  
Director, Securities Investor Protection Corporation (1978-1980)  
General Counsel, Chrysler Loan Guarantee Board (1979-1980)  
Treasury Designee, Board of Trustees of the National Gallery of Art (1977-1980)

## **AWARDS:**

American Bar Association Michael Franck Professional Responsibility Award, 2020  
Honorary Degree of Doctor of Humane Letters, The New School, 2019  
Lifetime Achiever Award, The American Lawyer Magazine, 2014  
Distinguished Service, University of Pennsylvania Law School, 2014  
James Wilson Award Honoring Service to the Legal Profession, University of Pennsylvania Law School, 2014  
John Phillips Award, Phillips Exeter Academy, 2013  
Officer's Cross of the Order of Merit of the Federal Republic of Germany, 2007  
Human Relations Award, Anti-Defamation League, 1999  
Francis Rawle Award, ALI-ABA Committee on Continuing Professional Education, 1992  
The Law Alumni Society of University of Pennsylvania Law School Distinguished Service Award, "The Goat," 1989  
Harold P. Seligson Award for Outstanding Contributions to Continuing Legal Education, 1988  
Alexander Hamilton Award, United States Treasury Department, 1980